CERTIFICATE OF MAILING

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Date E VC O

Signature: Carrie Reddick

PATENT

Attorney Docket No. ULT-001-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) <u>PATENT APPLICATION</u>	Ī
Inventor(s): Donald C. Mann et al.) Art Unit: Unknown	
Application No.: 09/693,271)	
Filed: 10/20/2000) Examiner: Unknown)	
Title DATA STORAGE DEVICE, APPARATUS)	
AND METHOD FOR USING SAME)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed document is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

SN: 09/693,271

\boxtimes	This sta	tement	qualifies under 37 C.F.R. § 1.97,	subsection (b) because (check all that apply):					
		(1)	It is being filed within 3 months continued prosecution a OR	of the application filing date and is other than a pplication under § 1.53(d)					
		(2)	It is being filed within 3 months OR	of entry of a national stage					
	\boxtimes	(3)	It is being filed before the mail of OR	late of the first Office Action on the merits.					
		(4)	It is being filed before the mailin continued examination	ng of a first Office Action after the filing of a request for under § 1.114					
	mailing	date of	27(c). If this statement is being file the earlier of a final office action otherwise closes prosecution in the	ed after the period specified in § 1.97(b), but before the under § 1.113, a notice of allowance under § 1.311, or e application, then:					
		a certif	fication as specified in § 1.97(e) is	s provided below; or					
		a fee o payme	f \$180.00 as set forth in § 1.17(p) nt of other papers filed together v	is authorized below, enclosed, or included with the with this statement.					
			97(d). If this statement is being file issue fee, then:	ed after the period specified in § 1.97(c), but on or before					
	A.	a certi	fication as specified in § 1.97(e) i	s completed below; and					
	B.	a fee o	of \$180.00 as set forth in § 1.17(p) and of other papers filed together v) is authorized below, enclosed, or included with the with this statement.					
\boxtimes	Fee Au	Authorization. No fee is believed to be due, however, if the Commissioner finds that a fee is owed, hereby authorized to charge Deposit Account No. 50-0574 (Docket No. ULT-001-1).							
				Respectfully submitted,					
				BEVER, HOFFMAN & HARMS, LLP					
Dated:	<u>January</u>	<u>, 21, 200</u>	<u>04</u>	By: James E. Parsons, Reg. No. 34,691					
	one: (40 ner No.		5906						

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1995; no persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction 09/693,271 **Application Number** Substitute for form 1449A/FTO JUN 0 7 2004 10/20/2000 Filing Date INFORMATION DISCLOSU Donald C. Mann First Named Inventor: STATEMENT BY APPLICA GROUP: Unknown Examiner: Unknown (Use as many sheets as necessary) **Attorney Docket Number ULT-001-1** of 14 **Sheet**

			. 37			ATENT DOC	Name of Patentee or	Pages, Columns, Lin	es
Examiner Initials*	Cited No. 1	Numbe	Document Number Number - Kind Code 2015 known)			M-DD-YYYY	Applicant of Cited Document	Where Relevant Passag Figures Appear	
	H001	US-1,7	56,547		04/	1930	Hansen		
	H002	US-3,6	577,843		7/1	7/1972	Reiss		
	H003	US-3,8	338,252		9/2	4/1974	Hynes et al.		
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	H006	US-4,0	76,125		2/28/1978		Ohsaki et al.		
	H007	US-4,1	100,689	07/1978 8/1/1978		1978	Broune		
	H008	US-4,1	104,682			/1978	Lehner et al.		
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	H009	EP	0194675			9/1986			
	H010	wo	9210485			12/1992			
	H011	JР	10041118		-	4/1998			
	<u> </u>	OTI	HER DOCU	MENTS	(Inc	luding Author,	Title, Date, Pertinent Pages	, Etc.)	
	(One	Page) Ad	vertising Bro	ochure, I	omeg	a Clik! PC Card	Drive (40 MB Disk) Iomega	Corporation, 1999	
	Good	ch et al. "A s 4545-45	High Resol	ution Fly	ying l s on N	Magnetic Disk R Magnetis, Volun	Recording System With Zero Rule 27, No. 6, November 1991	Reproduce Spacing Loss	,"
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/Daniel Walsh/ DATE CONSIDERED: **EXAMINER SIGNATURE**

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Three (3) Pages / Gold CD Mini ROM (Picture), Back/Front Packaging for CD Mini ROM AOL, America On Line

is attached.

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US-4,503,125

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US-4,530,016

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PTO/SB/08A (08-03)
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				U.	S. PATENT DOC	UMENTS				
Examiner Initials*	Cited No. 1	<u>Docu</u> Number -	Document Number Number - Kind Code 26/6 known)		Publication Date MM-DD-YYYY	Name of	Patentee or Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear		
	H012	US-4,141	,400		2/27/1979	Ma	angan			
	H013	US-4,19	7,988		4/15/1980	Mos	ss et al.			
<u></u>	H014	US-4,20	9,811		6/1/1980	Bla	nzevic			
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<u> </u>	H016	US-4,30	2,523		11/1/1981	Audr	an et al.			
	H017	US-4,31	3,136		3/2/1982	Je	effers			
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	H010	115-4.45	n 955		5/29/1984	Feat	therston			

FOREIGN PATENT DOCUMENTS Pages, Columns, Lines **Publication** Examiner Cite Initials* Foreign Patent Document Date Name of Patentee or Where Relevant No. 1 тб Passages or Figures MM-DD-Applicant of Cited Cntry Code³ Number⁴ Kind Code⁵ (if known) Appear Document YYYY Widmer MJF 12/11/1982 H026 FR 2505523

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER SIGNATURE: /Daniel Walsh/ DATE CONSIDERED: 11/16/2012

3/1/1985

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4/1/1986

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Nelson et al.

Foley et al.

Sawazaki

Sawazaki

Okuno

Brown et al.

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	H027	US-4,592	,042		5/1/	1986	Lemel	son et al.	
	H028	US-4,598	,196		7/1/	1986	Piero	ce et al.	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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/Daniel Walsh/

DATE CONSIDERED:

11/16/2012

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Application Number 09/693.271 Substitute for form 1449A/PTO JUN 0 7 2004 6 10/20/2000 Filing Date INFORMATION DISCLOSU First Named Inventor: Donald C. Mann STATEMENT BY APPLICA GROUP: Unknown Examiner: Unknown (Use as many sheets as necessary) of Attorney Docket Number ULT-001-1 Sheet 4 14 U.S. PATENT DOCUMENTS **Publication Date** Name of Patentee or Pages, Columns, Lines Examiner Cited Document Number Number - Kind Code 266 known MM-DD-YYYY Where Relevant Passages or No. 1 Applicant of Cited Document Initials* Figures Appear US-4,756,967 7/1/1988 Hashimoto et al. H042 H043 US-4,774,618 9/27/1988 Raviv US-4,777,540 10/11/1988 **McCoy** H044 H045 US-4,780,604 10/1988 Hasegawa et al. 11/22/1988 Chen et al. H046 US-4,786,564 H047 US-4,791,283 12/13/1988 Burkhardt 03/1989 Vogelgesang et al. H048 US-4,812,633 05/1989 Meathrel H049 US-4,826,772 Shimamura et al. H050 US-4,833,310 05/23/1989 H051 07/25/1989 LeBlanc et al. US-4,851,610 H052 US-4,868,373 09/1989 Opheij et al. H053 US-4,877,488 10/1989 Cody et al. H054 US-4,889,755 12/1989 Charbonneau 01/1990 H055 US-4,897,533 Lyszczarz FOREIGN PATENT DOCUMENTS **Publication** Pages, Columns, Lines Examiner Cite No. 1 Initials* Foreign Patent Document Date Name of Patentee or Where Relevant Tو MM-DD-Applicant of Cited Passages or Figures Cntry Code³ Number⁴ Kind Code⁵ (if known) YYYY Document Appear OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE no gersons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Application Number 09/693,271 Substitute for form 1449A/PTO JUN 0 7 2004 10/20/2000 Filing Date INFORMATION MISCLOSU Donald C. Mann First Named Inventor: STATEMENT BY APPLICA GROUP: Unknown Examiner: Unknown (Use as many sheets as necessary) Attorney Docket Number ULT-001-1 **Sheet** 6 of 14 U.S. PATENT DOCUMENTS Pages, Columns, Lines Name of Patentee or Document Number **Publication Date** Cited Examiner Number - Kind Code 2/1/known Applicant of Cited Document Where Relevant Passages or MM-DD-YYYY No. 1 Initials* Figures Appear 6/14/1994 Groves et al. US-5,321,243 H070 H071 US-5,336,871 8/9/1994 Colgate Jr. 11/8/1994 Nair et al. US-5,362,952 H072 Deland Jr. et al. H073 US-5,396,369 3/7/1995 3/7/1995 Nair et al. H074 US-5,396,545 H075 US-5,397,886 3/14/1995 Mos et al. Gannyo et al. 04/1995 H076 US-5,408,384 . 4/25/1995 McIntire et al. H077 US-5,410,136 Okazaki et al. H078 US-5,421,618 6/6/1995 Nair et al. 6/20/1995 US-5,426,286 H079 US-5,431,746 Manning et al. 7/11/1995 H080 US-5,452,143 9/19/1995 Kamagami H081 US-5,466,918 11/14/1995 Ray et al. H082 1/2/1996 Suzuki et al. H083 US-5,480,685 FOREIGN PATENT DOCUMENTS Pages, Columns, Lines **Publication** Examiner Cite Initials* Foreign Patent Document Date Name of Patentee or Where Relevant No. 1 T6 MM-DD-Applicant of Cited Passages or Figures Cntry Code³ Number⁴ Kind Code⁵ (if known) YYYY Document Appear OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) 11/16/2012

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Wynn

Coughlin

Yokota et al.

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through 07/31/2006. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE unless it contains a valid OMB control number. 09/693,271 Application Number Substitute for form 1449 A/PTO 0 7 2004 10/20/2000 Filing Date INFORMATION VISCLOSUIT First Named Inventor: Donald C. Mann STATEMENT BY APPLICA GROUP: Unknown Examiner: Unknown (Use as many sheets as necessary) **Attorney Docket Number ULT-001-1** 12 of 14 Sheet U.S. PATENT DOCUMENTS Document Number **Publication Date** Name of Patentee or Pages, Columns, Lines Cited Examiner Number - Kind Code 2/1/ known) MM-DD-YYYY Where Relevant Passages or No. 1 Applicant of Cited Document Initials* Figures Appear US-6,311,893 H154 11/2001 Liu et al. 4/2002 Hall US-6,378,037 H155

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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